# MALDEN HOUSING AUTHORITY

## **SECTION 3 PLAN**

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### 1. Overview of Section 3 Requirements

#### A. WHAT IS SECTION 3?

Section 3 is a provision of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) that is regulated by the provisions of 24 CFR 75. Section 3 regulations ensure that employment and other economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, and consistent with existing Federal, State and local laws and regulations, be directed to low- and very low-income persons, particularly those who are recipients of government assistance for housing, and to business concerns which provide economic opportunities to low- and very low-income persons.

#### **B. PURPOSE OF THIS DOCUMENT**

This plan outlines how the Malden Housing Authority (MHA) and its subrecipients, contractors and subcontractors will comply with HUD's Section 3 requirements in implementing the MHA's HUD funded programs. MHA will, to the greatest extent feasible, ensure that employment and other economic opportunities are directed to low- and very low-income persons (Section 3 workers and Targeted Section 3 workers) and to eligible businesses (Section 3 Businesses) and requires the same of its' contractors.

MHA may amend its Section 3 Policies and Procedures document as necessary to ensure continued compliance with HUD's requirements and/or to reflect updated Section 3 guidance and outreach strategies.

#### C. APPLICABILITY

For public housing financial assistance, all funding is covered, regardless of the amount of expenditure orsize of a contract. This plan applies to development assistance, operating funds, capital funds, and all mixed-finance development.

For housing and community development financial assistance, this plan applies to housing rehabilitation, housing construction, and other public construction projects that exceed \$200,000 or more of housing and community development financial assistance from one or more HUD programs. Applicability is determined at the project level.

For projects funded with Lead and Hazard Control and Healthy Homes Programs, this plan applies toprojects that exceed \$100,000.

This plan also applies to projects that include multiple funding sources. Multiple funding source projects include projects that include public housing financial assistance, housing and community development financial assistance for single or multiple recipients, and the Lead Hazard Control and Healthy Homes Program.

Section 3 requirements **do not** apply to: 1) Material Supply Contracts - § 75.3(b); 2) Indian and TribalPreferences - § 75.3(c); and 3) Other HUD assistance and other Federal assistance not subject to Section 3 §75.3 (d). However, for financial assistance that is not subject to Section 3, recipients are encouraged to consider ways to support the purpose of Section 3.

#### 2. MHA Section 3 Coordinator

MHA's Section 3 Coordinator, named below, serves as the central point of contact for Section 3 compliance for MHA and its subrecipients, contractors and subcontractors supporting theprogram. Subrecipients, contractors, subcontractors and others are encouraged to reach out to MHA's Section 3 Coordinator with questions regarding Section 3 compliance:

Stephen G. Finn, Executive Director Phone: (781) 322-3150 eMail: sfinn@maldenhousing.org

### 3. Employment, Training, and Contracting Goals

#### A. SAFE HARBOR COMPLIANCE

Absent evidence to the contrary, MHA will have met safe harbor and be considered to have complied with Section 3 requirements by certification of following the required prioritization of effort that met or exceeded Section 3 benchmarks.

Prior to the beginning of work, contractors and subcontractors will be required to certify that they will follow the required prioritization of effort for Section 3 workers, Targeted Section 3 workers, and Section 3 business concerns as outlined in section C hereof. Contractors and subcontractors will be required to certify that they followed the prioritization of effort requirements on the Section 3 Cumulative Report after completion of each project.

Contractors and subcontractors that do not meet safe harbor requirements must provide evidence of having made qualitative efforts to assist low and very low-income persons with employment and training opportunities.

#### **B. SAFE HARBOR BENCHMARKS**

MHA has established employment and training goals that subrecipients, contractors, and subcontractors should meet in order to comply with Section 3 requirements for public housing financial assistance outlined in 24 CFR Part 75.9. The safe harbor benchmark goals are as follows:

- 1) Twenty-five (25) percent or more of the total number of labor hours worked by all workers employed with public housing financial assistance in the PHA's fiscal year are Section 3 workers: **Section 3 Labor Hours/Total Labor Hours = 25%**; and
- 2) Five (5) percent or more of the total number of labor hours worked by all workers employed with public housing financial assistance in the PHA's fiscal year are Targeted Section 3 workers, as defined at 24 CFR Part 75.11: **Targeted Section 3 Labor Hours/Total Labor Hours = 5%**.

HUD establishes and updates Section 3 benchmarks for Section 3 workers and/or Targeted Section 3workers through a document published in the Federal Register at least once every 3 years. Given that the Section 3 benchmarks are subject to change every three years or sooner, MHA will review and update the Section 3 Plan annually or as otherwise required.

It is the responsibility of MHA's contractors and subcontractors to implement efforts to achieve Section 3 compliance. Any contractor that does not meet Section 3 benchmarks must demonstrate why meeting the benchmarks was not feasible. All contractors submitting bids or proposals to the MHA are required to certify that they will comply with the requirements of Section 3.

# C. CERTIFICATION OF PRIORITIZATION OF EFFORT FOREMPLOYMENT, TRAINING, AND CONTRACTING

#### **EMPLOYMENT AND TRAINING**

The MHA's Section 3 Program requires contractors and subcontractors to make best efforts to provide employment and training opportunities to Section 3 workers in the priority order listed below:

- 1) To residents of MHA public housing developments for which the public housing financial assistance is expended;
- 2) To residents of any other public housing or Section 8 assisted housing properties managed by the MHA, if any;
- 3) To participants in YouthBuild programs; and
- 4) To low and very low-income persons residing within the Boston-Cambridge-Quincy, MA-NH HUD Metro FMR Area in which the assistance is expended.

Contractors and subcontractors will be required to certify that they will and have made best efforts to follow the prioritization of effort requirements prior to the beginning work and after work is completed.

#### **CONTRACTING**

Under the MHA's Section 3 Program, contractors and subcontractors must make their best efforts to award contracts and subcontracts to business concerns that provide economic opportunities to Section 3 workers in the following order or priority:

- 1) Section 3 business concerns that provide economic opportunities for residents of public housing properties for which the assistance is provided;
- 2) Section 3 business concerns that provide economic opportunities for residents of other public housing projects or Section-8 assisted housing managed by the MHA, if any;
- 3) YouthBuild programs; and

4) Section 3 business concerns that provide economic opportunities to Section 3 workers residing within the Boston-Cambridge-Quincy, MA-NH HUD Metro FMR Area.

Contractors and subcontractors are required to certify that they will and have made best efforts tofollow the prioritization of effort requirements prior to the beginning work and after work is completed.

### 4. Section 3 Eligibility and Certifications

Individuals and businesses that meet Section 3 criteria may seek Section 3 preference from MHA or its contractors/subcontractors for training, employment, or contracting opportunities generated by public housing financial assistance. To qualify as a Section 3 worker, Targeted Section 3 worker or a Section 3 business concern, each must self-certify that they meet the applicable criteria.

Businesses who misrepresent themselves as Section 3 business concerns and report false information toMHA may have their contracts terminated as default and be barred from ongoing and future considerations for contracting opportunities.

#### A. SECTION 3 WORKER AND TARGETED SECTION 3 WORKER CERTIFICATION

A Section 3 worker seeking certification shall submit self-certification documentation to the MHA, contractor or subcontractor verifying that the person is a Section 3 worker or Targeted Section 3 worker as defined in 24 CFR Part 75. For the purposes of determining Section 3 worker eligibility, MHA, contractors and subcontractors will use <u>individual income</u> rather than family/household income. HUD's income limits are determined annually and published at <a href="https://www.huduser.org/portal/datasets/il.html">https://www.huduser.org/portal/datasets/il.html</a>.

Individuals seeking the Section 3 worker preference shall demonstrate that they meet or met when hired within the past five years one or more of thefollowing documented criteria:

- 1) Are or were a low or very low-income resident (the worker's income for the previous or annualized calendar year is below the income limit established by HUD); or
- 2) Are or were employed by a Section 3 business concern; or
- 3) Are or were a YouthBuild participant.

Persons seeking the Targeted Section 3 worker preference must demonstrate that they meet one or more of the following criteria:

- 1) Is employed by a Section 3 business concern; or
- 2) Currently meets or met, when hired within the past five years, at least one of the following documented categories:

- a) Is or was an MHA public housing resident; or
- Is or was a resident of another public housing or Section 8-assisted housing property;
   or
- c) Is or was a YouthBuild participant.

Section 3 workers and Targeted Section 3 workers who are seeking preference in training and employment must submit the Section 3 Worker and Targeted Section 3 Worker Certification Form. The certification procedure will consist of the following:

- (1) For a worker to qualify as a Section 3 worker, one of the following must be maintained:
- (i) A worker's self-certification that their income is below the income limit from the prior calendar year;
- (ii) A worker's self-certification of participation in a means-tested program such as public housing or Section 8-assisted housing;
- (iii) Certification from a PHA, or the owner or property manager of project-based Section 8 assisted housing, or the administrator of tenant-based Section 8-assisted housing that the worker is a participant in one of their programs;
- (iv) An employer's certification that the worker's income from that employer is below the income limit when based on an employer's calculation of what the worker's wage rate would translate to if annualized on a full-time basis; or
- (v) An employer's certification that the worker is employed by a Section 3 business concern.
- (2) For a worker to qualify as a Targeted Section 3 worker, one of the following must be maintained:
- (i) A worker's self-certification of participation in public housing or Section 8-assisted housing programs;
- (ii) Certification from a PHA, or the owner or property manager of project-based Section 8- assisted housing, or the administrator of tenant-based Section 8-assisted housing that the worker is a participant in one of their programs;
- (iii) An employer's certification that the worker is employed by a Section 3 business concern; or
- (iv) A worker's certification that the worker is a YouthBuild participant.

Section 3 Worker verification documentation must be maintained for the time period required for record retention in accordance with applicable program regulations or, in the absence of applicable program regulation, in accordance with 2 CFR § 200.334, Retention Requirements for Records, which provides for retaining records for at least three years. A PHA, contractor or subcontractor may report on Section 3 workers and Targeted Section 3 workers for five years from the date of their original certification as a Section 3 worker or Targeted Section 3 worker.



#### PROJECTS INVOLVING MULTIPLE SOURCES OF FUNDING

In cases where Section 3 covered projects include multiple sources of funds, including public housing financial assistance and housing and community development assistance, the MHA must follow the definition of Targeted Section 3 worker and priorities as outlined in subpart B of Part 75. For housing and community development financial assistance, MHA may follow either subpart B or subpart C of Part 75.

In cases where Section 3 covered projects include multiple housing and development funding sources (financial assistance) from single or multiple recipients, MHA will follow subpart C of Part 75. Refer to chart in Appendix B.

#### **B.** SECTION 3 BUSINESS CONCERN CERTIFICATION

The MHA will make best efforts to award contracts and subcontracts to Section 3 business concerns.

Businesses that believe they meet the Section 3 Business requirements can self-register in the HUD Business registry at: <a href="http://www.hud.gov/Sec3Biz">http://www.hud.gov/Sec3Biz</a>. A business may seek Section 3 Business Concernpreference by meeting one or more of the following criteria:

- 1) At least 51 percent of the business is owned and controlled by low or very low-income persons; or
- 2) At least 51 percent of the business is owned and controlled by current public housing residents orresidents who currently reside in Section 8-assisted housing; or
- 3) Over 75 percent of the labor hours performed for the business over the prior threemonth period were performed by Section 3 workers.

Businesses that seek Section 3 preference shall certify to MHA, its' contractors and subcontractors that they meet one or more of the definitions provided above. Businesses may demonstrate eligibility by submitting MHA's Section 3 Business Concern Certification Form available at:

MHA's Section 3 Business Concern Certification Form must be submitted with the bid or proposal. If the MHA previously verified the business concern to be Section 3 certified, then the certification can be submitted along with the bid as long as the form is submitted within the prescribed expiration date. MHA's Section 3 Business Concern Certification Form will expire 24 months after issue. Establishing a 24 month certification of eligibility period allows the MHA the ability to assess contractor performance to ensure the business is striving to meet the required goals.



### 5. Assisting Contractors with Achieving Section 3 Goals

In an effort to assist contractors with meeting or exceeding Section 3 goals, MHA will do the following:

- 1) Share Section 3 Plan with contractors and subcontractors and explain policies and procedures;
- 2) Require contractors wishing to submit a bid/offer/proposal to attend pre-bid meeting;
- 3) Require contractors to sign the Section 3 Plan at the pre-construction conference;
- 4) Review Section 3 benchmarks and prioritization of effort with contractors and subcontractors to ensure that program goals are understood. It is not intended for contractors and subcontractors to terminate existing employees, but only to make every effort feasible to meet Section 3 benchmark goals by utilizing the existing qualified workforce and by considering qualified eligible Section 3 workers and Targeted Section 3 workers per the prioritization of effort outlined in Section #3 before any other person when hiring additional employees necessary to complete proposed work;
- 5) At the time of bid, require the contractor to present a list of the number of total labor hours, Section 3 worker labor hours, and Targeted Section 3 worker labor hours expected to be generated from the initial contract and a list of projected number of available positions, inclusive of job descriptions and wage rates;
- 6) Maintain a local Section 3 worker/Targeted Section 3 worker database and provide the contractor with a list of interested and qualified Section 3 workers and Targeted Section 3 workers together with their contact information;
- 7) Inform contractors about the HUD Section 3 Opportunity Portal https://hudapps.hud.gov/OpportunityPortal/;
- 8) Require contractors to notify MHA's Section 3 Coordinator of their interests regarding employment of Section 3 workers prior to hiring;
- 9) Encourage local business to register with the HUD Business Registry and direct contractors to the HUD Section 3 Business Registry at: <a href="https://www.hud.gov/section3businessregistry">https://www.hud.gov/section3businessregistry</a>
- 10) Leverage MHA's communication outlets (website, etc.) to effectively communicate employment and contracting opportunities as same arise; and
- 11) Require contractors to submit a list of core employees (including administrative, clerical, planning and other positions relevant to the construction trades) at the time of contract award.



#### 6. Section 3 Outreach

#### A. OUTREACH EFFORTS FOR EMPLOYMENT AND TRAINING

In order to educate and inform workers and contractors, MHA's Section 3 Coordinator will be prepared to provide training and technical assistance on a regular basis per program guidelines. When training opportunities are available, contractors and subcontractors should, to the greatest extent feasible:

- 1) Notify MHA's Section 3 Coordinator when training opportunities are available;
- 2) Provide information/handouts about Section 3 training opportunities to potential Section 3 workers and Targeted Section 3 workers; and
- 3) Conduct an annual training for Section 3 workers and Section 3 businesses.

Contractors and subcontractors should employ several active strategies to notify Section 3 workers and Targeted Section 3 workers of Section 3 job opportunities, including:

- 1) Clearly indicating Section 3 eligibility on all job postings with the following statement: "This job is a Section 3 eligible job opportunity. We encourage applications from individuals who are low income and/or live in Public Housing and/or receive Section 8 voucher assistance";
- 2) Including the Section 3 Worker and Targeted Section 3 Worker Self-Certification Form in all jobpostings;
- 3) Working with the Section 3 Coordinator to connect Section 3 worker and Targeted Section 3workers in the MHA database with opportunities and/or utilize the Section 3 Opportunity Portal to find qualified candidates;
- 4) Establishing a current list of Section 3 eligible applicants;
- 5) Contacting local community organizations and provide them with job postings for Section 3 eligible applicants; and
- 6) Coordinate a programmatic ad campaign, which results in widespread job posting acrossdiverse ad networks including:
  - Advertising job opportunities via flyer distributions, mass mailings and/or posting incommon areas of housing developments and all public housing management offices; and
  - Contacting resident councils and neighborhood community organizations to request their assistance in notifying residents of available training and employment opportunities.

#### B. OUTREACH EFFORTS FOR CONTRACTING



When contracting opportunities arise in connection with the Public Housing Program, MHA will employ the following strategies to notify Section 3 Business Concerns of Section 3 contracting opportunities, including but not limited to:

- 1) Add Section 3 language to all RFPs, procurement documents, bid offerings and contracts;
- Coordinate mandatory pre-bid meetings to inform Section 3 Business Concerns of upcoming contracting opportunities. The Section 3 Coordinator will participate in these meetings to explain and answer questions related to Section 3 policy;
- 3) Advertise contracting opportunities in local community papers and through notices that provide general information about the work to be contracted and where to obtain additional information;
- 4) Provide written notice of contracting opportunities to all known Section 3 Business Concerns in sufficient time to enable business concerns the opportunity to respond to bid invitations;
- 5) Coordinate with the prime contractor to publicize contracting opportunities for small businesses;
- 6) Coordinate with business assistance or development agencies and contractor associations to inform them of contracting opportunities and request their assistance in identifying Section 3 business concerns; and
- 7) Connect Section 3 business concerns with resources to support business development to assist in obtaining contracting opportunities and encourage Contractors to collaborate with MHA as subcontract opportunities arise in an effort to notify eligible Section 3 business concerns about same.

### 7. Section 3 Contracting Policy and Procedure

MHA has incorporated Section 3 requirements in its Procurement Policy and plans to adopt a Section 3 Contracting Policy and Procedure form establishing requirements for making best efforts to award contracts made with HUD funding to Section 3 Business Concerns.

Before submitting bids/proposals to the MHA, all contractors/subcontractors/businesses seeking Section 3 preference must be required to complete one or more certification(s) together with appropriate documentation as referenced in MHA's Section 3 Business Concern Certification Form.



### 8. Section 3 Provisions/Contract Language

MHA will include Section 3 language in all of its contracts to ensure compliance with 24 CFR Part 75 regulations. MHA will take appropriate action upon finding that a contractor is in violation of 24 CFR Part 75 and will not knowingly contract with any contractor that has been found in violation of Section 3 regulations. On a periodic basis, MHA's Section 3 Coordinator will audit contractors and subcontractors for compliance with the minimum Section 3 requirements included in MHA's Section 3 Plan.

In addition, contractors and subrecipients are required to include language in all Section 3 covered contracts/agreements for subcontractors to meet the requirements of 24 CFR Part 75.9.

For businesses, noncompliance with HUD's regulations in 24 CFR part 75 may result in sanctions, termination of contract for default, and debarment or suspension from future HUD funded contracts.

### 9. Reporting Requirements

For Section 3 covered contracts, contractors must submit the Section 3 Performance and SummaryReport to MHA's Section 3 Coordinator monthly as well as completing the annual reporting requirement.

#### A. MONTHLY REPORTING

1) Contractors are required to submit monthly activity reports to MHA's Section 3 Coordinator at <a href="mailto:sfinn@maldenhousing.org">sfinn@maldenhousing.org</a> by the 25th day of each month.

#### B. ANNUAL REPORTING

- 1) Once a project is completed, contractors must submit a final Section 3 cumulative report for the program year.
- 2) Upon the completion of a project, MHA's Section 3 Coordinator will conduct a final review of the project's overall performance and compliance report record.
- 3) MHA's Section 3 Coordinator will timely submit the Section 3 data into HUD's Section 3 reporting system as required.

# 10. Internal Section 3 Complaint Procedure

MHA encourages submittal of complaints of non-compliance to its Section 3 Coordinator as follows:



- Complaints of non-compliance should be filed in writing and must contain the name of the complainant and brief description of the alleged violation of 24 CFR Part 75.
- 2) Complaints must be filed within (<u>10</u>) calendar days after the complainant becomes aware of the alleged violation.
- 3) An investigation will be conducted if the complaint is verified. MHA willconduct an informal but thorough investigation affording all interested parties an opportunity to submit testimony and/or evidence relevant to the complaint.
- 4) At the conclusion of each investigation, MHA will provide written documentation detailing any and all findings and will review same for accuracy and completeness before releasing such documentation to the complainants no later than thirty (30) days from the date the complaint was filed. Complainants may also file complaints with the HUD program office responsible for the public housing financial assistance or the Section 3 project or the HUD Boston Field Office.

Complainants may be eligible to bring complaints under other federal laws. The U.S. Equal EmploymentOpportunity Commission (EEOC) is responsible for enforcing federal laws that make it illegal to discriminate against a job applicant or an employee because of the person's race, color, religion, sex (including pregnancy), national origin, age (40 or older), disability or genetic information (medical history or predisposition to disease). For more information about complainant rights, please contact EEOC at: <a href="https://www.EEOC.gov">www.EEOC.gov</a>.

The Department of Labor Office of Federal Contract Compliance Programs (OFCCP) enforces, for thebenefit of job seekers and wage earners, the contractual promise of affirmative action and equal employment opportunity required of those who do business with the Federal government. More information about the services they provide can be obtained at: http://www.dol.gov/ofccp/.



### 11. Appendices

#### **APPENDIX A: DEFINITIONS**

The terms HUD, Public housing, and Public Housing Agency (PHA) are defined in 24 CFR part 5.

The following definitions also apply to 24 CFR Part 75 HUD's Economic Opportunities for Low-and VeryLow-Income Persons:

1937 Act means the United States Housing Act of 1937, 42 U.S.C. 1437 et seq. activities related to PublicHousing

Contractor means any entity entering into a contract with:

- (1) A recipient to perform work in connection with the expenditure of public housing financial assistance or for work in connection with a Section 3 project; or
- (2) A subrecipient for work in connection with a Section 3 project.

Labor hours means the number of paid hours worked by persons on a Section 3 project or by persons employed with funds that include public housing financial assistance.

Low-income person means a person as defined in Section 3(b)(2) of the 1937 Act, at or below 80% AMI. Note that Section 3 worker eligibility uses individual income rather than family/household income.

*Material supply contracts* means contracts for the purchase of products and materials, including, but notlimited to, lumber, drywall, wiring, concrete, pipes, toilets, sinks, carpets, and office supplies.

*Professional services* means non-construction services that require an advanced degree or professionallicensing, including, but not limited to, contracts for legal services, financial consulting, accounting services, environmental assessment, architectural services, and civil engineering services.

Public housing financial assistance means assistance as defined in 24 CFR Part 75.3(a)(1).

Public housing project is defined in 24 CFR 905.108.

Recipient means any entity that receives directly from HUD public housing financial assistance or housingand community development assistance that funds Section 3 projects, including, but not limited to, any State, local government, instrumentality, PHA, or other public agency, public or private nonprofit organization.

Section 3 means Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C.1701u).

Section 3 business concern means:

(1) A business concern meeting at least one of the following criteria, documented within the last six-month period:



- (i) It is at least 51 percent owned and controlled by low- or very low-income persons;
- (ii) Over 75 percent of the labor hours performed for the business over the prior three-month period are performed by Section 3 workers; or
- (iii) It is a business at least 51 percent owned and controlled by current public housing residents orresidents who currently live in Section 8-assisted housing.
- (2) The status of a Section 3 business concern shall not be negatively affected by a prior arrest orconviction of its owner(s) or employees.
- (3) Nothing in this part shall be construed to require the contracting or subcontracting of a Section 3 business concern. Section 3 business concerns are not exempt from meeting the specifications of the contract.

Section 3 Coordinator is person tasked with overseeing all Section 3 responsibilities for the PHA office.

Section 3 project means a project defined in 24 CFR Part 75.3(a)(2).

Section 3 worker means:

- (1) Any worker who currently fits or when hired within the past five years fit at least one of the following categories, as documented:
  - (i) The worker's income for the previous or annualized calendar year is below the income limitestablished by HUD.
  - (ii) The worker is employed by a Section 3 business concern.
  - (iii) The worker is a YouthBuild participant.
- (2) The status of a Section 3 worker shall not be negatively affected by a prior arrest or conviction.
- (3) Nothing in this part shall be construed to require the employment of someone who meets this definition of a Section 3 worker. Section 3 workers are not exempt from meeting the qualifications of the position to be filled.

Section 8-assisted housing refers to housing receiving project-based rental assistance or tenant-based assistance under Section 8 of the 1937 Act.

Service area or the neighborhood of the project means an area within one mile of the Section 3 project or, if fewer than 5,000 people live within one mile of a Section 3 project, within a circle centered on the Section 3 project that is sufficient to encompass a population of 5,000 people according to the most recent U.S. Census.

*Small PHA* means a public housing authority that manages or operates fewer than 250 public housingunits.



Subcontractor means any entity that has a contract with a contractor to undertake a portion of the contractor's obligation to perform work in connection with the expenditure of public housing financial assistance or for a Section 3 project.

Subrecipient has the meaning provided in the applicable program regulations or in 2 CFR 200.93.

*Targeted Section 3 worker* has the meanings provided in 24 CFR Part 75.11, 75.21, or 75.29, and doesnot exclude an individual that has a prior arrest or conviction.

*Very low-income person* means the definition for this term set forth in section 3(b)(2) of the 1937 Act (at orbelow 50% AMI).

*YouthBuild programs* refers to YouthBuild programs receiving assistance under the Workforce Innovationand Opportunity Act (29 U.S.C. 3226).



### APPENDIX B: MULTIPLE FUNDING SOURCES - CHART

TYPE OF FINANCIAL ASSISTANCE	DEFINITIONS *TARGETED SECTION 3 WORKER	THRESHOLDS	PRIORITIZA TION	REPORTING
Public Housing and Housing and Community Development	PHA – must follow subpart B of Part 75 HCD – may follow subpart B or C of Part 75	None *Any amount of PH assistance triggers Section 3	PHA – must follow subpart B of Part 75 HCD – may follow subpart B or C of Part 75	PHA – must follow subpart B of Part 75 HCD – may follow subpart B or C of Part 75 Both - Must report on project as a whole and identify the multiple associated recipients
Multiple Sources of Housing and Community Development (single or multiple recipients)	Must follow subpart C of Part 75	Exceeds \$200,000 for Section 3 projects *LHCHHP exceeds \$100,000	Must follow subpart C of Part 75	Must follow subpart C of Part 75 Must report on project as a whole and identify the multiple associated recipients Must report to the applicable HUD program office, as prescribed by HUD

